

✓
Please replace the paragraph starting on page 7, line 11, with the following:

C1

FIGURES 4A-4B (SEQ ID NO: 7). The amino acid sequence of human gp130-Fc-His₆ (SEQ ID NO: 7). Amino acids 1 to 619 are from human gp130 (Hibi et al., Cell 63:1149-1157 (1990). Note that amino acid number 2 has been changed from a Leu to a Val in order to accommodate a Kozak sequence in the coding DNA sequence. The signal peptide of gp130-Fc-His₆ has been italicized (amino acids 1 to 22). The Ser-Gly bridge is shown in bold type (amino acids 620, 621). Amino acids 662 to 853 are from the Fc domain of human IgG1 (Lewis, et al., J. Immunol. 151:2829-2838 (1993). (†) mark the two cysteines (amino acids number 632 and 635) of the IgG hinge preceding the Fc that form the inter-chain disulfide bridges that link two Fc domains. The hexahistidine tag is shown in bold/italic type (amino acids 854 to 859). (•) shows the position of the STOP codon.

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Please replace the paragraph starting on page 7, line 23, through page 8, line 5, with the following:

C2

FIGURE 5 (SEQ ID NO: 8). The amino acid sequence of human IL-6R α -Fc (SEQ ID NO: 8). Key: Amino acids 1 to 358 are from human IL-6R α (Yamasaki, et al., Science 241:825-828 (1988). Note that amino acid number 2 has been changed from a Leu to a Val in order to accommodate a Kozak sequence in the coding DNA sequence. The signal peptide of IL-6R α -Fc has been italicized (amino acids 1 to 19). The Ala-Gly bridge is shown in bold type (amino acids 359, 360). Amino acids 361 to 592 are from the Fc domain of human IgG1 (Lewis et al., J. Immunol. 151:2829-2838 (1993). (†) mark the two cysteines (amino acids number 371 and 374) of the IgG hinge preceding the Fc that form the inter-chain disulfide bridges that link two Fc domains. (•) shows the position of the STOP codon.

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Please replace the paragraph starting on page 9, line 8, with the following:

FIGURES 9A-B (SEQ ID NO: 9). Amino acid sequence of gp130-C γ 1 (SEQ ID NO: 9).

C3 Key: Amino acids 1 to 619 are from human gp130 (Hibi, et al., Cell 63:1149-1157 (1990).

Ser-Gly bridge is shown in bold type. Amino acids 662 to 651 are from the constant region of human IgG1 (Lewis et al., J. Immunol. 151:2829-2838 (1993). (*) shows the position of the STOP codon.

✓
Please replace the paragraph starting on page 9, line 14, with the following:

C4 FIGURE 10 (SEQ ID NO: 10). Amino acid sequence of gp130 Δ 3fibro (SEQ ID NO: 10).

Key: Amino acids 1 to 330 are from human gp130 (Hibi et al., Cell 63:1149-1157 (1990).

Other symbols as described in Figure 9A-B (SEQ ID NO: 9).

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Please replace the paragraph starting on page 9, line 18, with the following:

C5 FIGURE 11 (SEQ ID NO: 11). Amino acid sequence of J-CH1 (SEQ ID NO: 11). Key: The Ser-Gly bridge is shown in bold, the J-peptide is shown in italics, the CH1 domain is underlined.

✓
Please replace the paragraph starting on page 9, line 22, with the following:

C6 FIGURE 12 (SEQ ID NO: 12). Amino acid sequence of C γ 4 (SEQ ID NO: 12). Key: The Ser-Gly bridge is shown in bold type. Amino acids 2 to 239 comprise the C γ 4 sequence.

✓
Please replace the paragraph starting on page 9, line 25, with the following:

C7 FIGURE 13 (SEQ ID NO: 13). Amino acid sequence of κ -domain (SEQ ID NO: 13). Key: The Ser-Gly bridge is shown in bold type. Amino acids 2 to 108 comprise the κ domain. The

C7
C87
C-terminal cysteine (amino acid 108) is that involved in the disulfide bond of the κ domain with the CH1 domain of Cy.

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Please replace the paragraph starting on page 10, line 2, with the following:

C8
FIGURE 14 (SEQ ID NO: 14). Amino acid sequence of λ -domain (SEQ ID NO: 14). Key: The Ser-Gly bridge is shown in bold type. Amino acids 2 to 106 comprise the λ domain (Cheung, et al., J. Virol. 66: 6714-6720 (1992)). The C-terminal cysteine (amino acid 106) is that involved in the disulfide bond of the λ domain with the CH1 domain of Cy.

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Please replace the paragraph starting on page 10, line 8, with the following:

C9
FIGURE 15 (SEQ ID NO: 15). Amino acid sequence of the soluble IL-6R α domain (SEQ ID NO: 15). Key: Amino acids 1 to 358 comprise the soluble IL-6R α domain (Yamasaki, et al., Science 241:825-828 (1988)). The Ala-Gly bridge is shown in bold type.

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Please replace the paragraph starting on page 10, line 12, with the following:

C10
FIGURE 16 (SEQ ID NO: 16). Amino acid sequence of the soluble IL-6R α 313 domain (SEQ ID NO: 16): Key: Amino acids 1 to 313 comprise the truncated IL-6R α domain (IL-6R α 313). The Thr-Gly bridge is shown in bold type.

✓
Please replace the paragraph starting on page 11, line 5, with the following:

C11
FIGURES 19A-19B. IL-6 can induce multimerization of the ligand trap. (Figure 19A) Two different ligand traps are depicted schematically and listed according to their ability to bind protein A. gp130-Fc•IL-6R α -Fc (GF6F) binds protein A via its Fc-domains, whereas gp130-CH1•IL-6R α - κ (G16K) does not bind to protein A. (Figure 19B) Anti-kappa western blotting of proteins precipitated with Protein A-

C11
CONT. Sepharose from mixtures of GF6F \pm IL-6, G16K \pm IL-6, or GF6F plus G16K \pm IL-6, as marked.

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Please replace the paragraph starting on page 11, line 23, with the following:

C12
FIGURES 21A-21D (SEQ ID NOS: 17 and 18) - Nucleotide sequence (SEQ ID NO: 17) encoding and deduced amino acid sequence (SEQ ID NO: 18) of fusion polypeptide designated 424 which is capable of binding the cytokine IL-4 to form a nonfunctional complex.

✓
Please replace the paragraph starting on page 11, line 27, through page 12, line 2, with the following:

C13
FIGURES 22A-22D (SEQ ID NOS: 19 and 20) - Nucleotide sequence (SEQ ID NO: 19) encoding and deduced amino acid sequence (SEQ ID NO: 20) of fusion polypeptide designated 603 which is capable of binding the cytokine IL-4 to form a nonfunctional complex.

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Please replace the paragraph starting on page 12, line 4, with the following:

C14
FIGURES 23A-23D (SEQ ID NOS: 21 and 22)- Nucleotide sequence (SEQ ID NO: 21) encoding and deduced amino acid sequence (SEQ ID NO: 22) of fusion polypeptide designated 622 which is capable of binding the cytokine IL-4 to form a nonfunctional complex.

✓
Please replace the paragraph starting on page 12, line 8, with the following:

C15
FIGURES 24A-24F (SEQ ID NOS: 23 and 24) - Nucleotide sequence (SEQ ID NO: 23) encoding and deduced amino acid sequence (SEQ ID NO: 24) of fusion polypeptide designated 412 which is capable of binding the cytokine IL-6 to form a nonfunctional complex.

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Please replace the paragraph starting on page 12, line 12, with the following:

C16
FIGURES 25A-25F (SEQ ID NOS: 25 and 26) - Nucleotide sequence (SEQ ID NO: 25) encoding and deduced amino acid sequence (SEQ ID NO: 26) of fusion polypeptide designated 616 which is capable of binding the cytokine IL-6 to form a nonfunctional complex.

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Please replace the paragraph starting on page 12, line 16, with the following:

C17
FIGURES 26A-26E (SEQ ID NOS: 27 and 28)- Nucleotide sequence (SEQ ID NO: 27) encoding and deduced amino acid sequence (SEQ ID NO: 28) of fusion polypeptide designated 569 which is capable of binding the cytokine IL-1 to form a nonfunctional complex.

✓
Please replace the paragraph starting on page 13, line 10, with the following:

C18
FIGURES 31A-31G (SEQ ID NOS: 29 and 30) - The nucleotide (SEQ ID NO: 29) and encoded amino acid (SEQ ID NO: 30) sequence of the IL-4R α .IL-13R α 1.Fc single chain trap construct is set forth.

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Please replace the paragraph starting on page 13, line 13, with the following:

C19
FIGURE 32A-32G (SEQ ID NOS: 31 and 32) - The nucleotide (SEQ ID NO: 31) and encoded amino acid sequence (SEQ ID NO: 32) of the IL-13R α 1.IL-4R α .Fc single chain trap construct is set forth.

✓
Please replace the paragraph starting on page 41, line 24, through page 42, line 5, with the following:

C20
SF21 insect cells obtained from *Spodoptera frugiperda* were grown at 27C in Gibco SF900 II medium to a density of 1×10^6 cells/mL. The individual virus stock for either GP130-Fc-His6 (Figures 4A and 4B [SEQ ID NO: 7]) or IL6Ra-Fc (Figure 5 [SEQ

C20
CONT.

ID NO: 8]) was added to the bioreactor to a low multiplicity 0.01-0.1 PFU/cell to begin the infection. The infection process was allowed to continue for 5-7 days allowing maximum virus replication without incurring substantial cell lysis. The cell suspension was aseptically aliquoted into sterile centrifuge bottles and the cells removed by centrifugation. The cell-free supernatant was collected in sterile bottles and stored at 4C until further use.

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Please replace the paragraph starting on page 49, line 1, through page 51, line 13, with the following:

C21

All the soluble receptor-Ig chimeric genes may be engineered in plasmid vectors including, but not limited to, vectors suitable for mammalian expression (Cos monkey kidney cells, Chinese Hamster Ovary cells [CHO], and ras-transformed fibroblasts [MG-ras]) and include a Kozak sequence (CGC CGC CAC CAT GGT G [SEQ ID NO: 3]) at the beginning of each chimeric gene for efficient translation. Engineering was performed using standard genetic engineering methodology. Each construct was verified by DNA sequencing, mammalian expression followed by western blotting with suitable antibodies, biophysical assays that determine ligand binding and dissociation, and by growth inhibition assays (XG-1, as described later). Since the domains utilized to engineer these chimeric proteins are flanked by appropriate restriction sites, it is possible to use these domains to engineer other chimeric proteins, including chimeras employing the extracellular domains of the receptors for factors such as IL-1, IL-2, IL-3, IL-4, IL-5, GM-CSF, LIF, IL-11, IL-15, IFN γ , TGF β , and others. The amino acid coordinates for each component utilized in making the IL-6 traps are listed below (Note: numbering starts with the initiating methionine as #1; long sequences are listed using the single letter code for the twenty amino acids):

(a) Constructs employing human gp130:

(i) **gp130-C γ 1** was engineered by fusing in frame the extracellular domain of gp130 (amino acids 1 to 619) to a Ser-Gly bridge, followed by the 330 amino acids which comprise C γ 1 and a

termination codon (Figures 9A and 9B [SEQ ID NO: 9]).

(ii) **gp130-J-C γ 1** was engineered in the same manner as gp130-C γ 1 except that a J-peptide (amino acid sequence: GQGTLVTVSS [SEQ ID NO: 4]) was inserted between the Ser-Gly bridge and the sequence of C γ 1 (see Figures 9A and 9B [SEQ ID NO: 9]).

(iii) **gp130 Δ 3fibro-C γ 1** was engineered by fusing in frame the extracellular domain of gp130 without its three fibronectin-like domains (Figure 10 [SEQ ID NO: 10]). The remaining part of this chimeric protein is identical to gp130-C γ 1.

(iv) **gp130-J-CH1** was engineered in a manner identical for that described for gp130-C γ 1, except that in place of the C γ 1 region only the CH1 part of C γ 1 has been used (Figure 11 [SEQ ID NO: 11]). The C-terminal domain of this construct includes the part of the hinge that contains the cysteine residue responsible for heterodimerization of the heavy chain of IgG with a light chain. The part of the hinge that contains the two cysteines involved in C γ 1 homodimerization has been deleted along with the CH2 and CH3 domains.

(v) **gp130-C γ 4** was engineered in a manner identical to that described for gp130-C γ 1, except that C γ 4 was used in place of C γ 1 (Figure 12 [SEQ ID NO: 12]). In addition, an *RsrII* DNA restriction site was engineered at the hinge region of the C γ 4 domain by introducing two silent base mutations. The *RsrII* site allows for other desired genetic engineering manipulations, such as the construction of the CH1 equivalent of gp130-C γ 4.

(vi) **gp130-K** was engineered in a manner identical to that described for gp130-C γ 1, except that the constant region of the K light chain of human Ig was used in place of C γ 1 (Figure 13 [SEQ ID NO: 13]).

(vi) **gp130-J-K** was engineered in a manner identical to that described for gp130-J-K, except that a j-peptide (amino acid sequence: TFGQGKVEIK [SEQ ID NO: 5]) was inserted between the Ser-Gly bridge and the K-region.

(viii) **gp130- λ** was engineered in a manner identical to that described for gp130-C γ 1, except that the constant region of the λ light chain (Cheung, et al., Journal of Virology 66:6714-6720 (1992) of human Ig was used in place of C γ 1 (Figure 14 [SEQ ID NO: 14]).

Constructs employing human IL-6Ra:

- C21
CONT.
- (i) **IL6R-Cy1** was engineered by fusing in frame amino acids 1 to 358 of IL-6R α (Yamasaki et al., Science 241:825-828 (1988), which comprise the extracellular domain of IL-6R α (Figure 15 [SEQ ID NO: 15]), to an Ala-Gly bridge, followed by the 330 amino acids which comprise Cy1 and a termination codon.
 - (ii) **IL6R- κ** was engineered as described for IL6R-Cy1, except that the κ -domain (Figure 13 [SEQ ID NO: 13]) utilized for gp130- κ was used in place of Cy1.
 - (iii) **IL6R-j- κ** was engineered as described for IL6R- κ except that the j-peptide described for gp130-j- κ was placed between the Ala-Gly bridge and the κ -domain.
 - (iv) Three additional constructs, **IL6R313-Cy1**, **IL6R313- κ** , and **IL6R313-j- κ** , were engineered as using a truncated form of IL-6Ra comprised of amino acids 1 to 313 (Figure 16 [SEQ ID NO: 16]). Each of these constructs were made by fusing in frame IL6R313 with a Thr-Gly bridge followed by the Cy1, κ -, and j- κ -domains described above. These constructs were engineered in order to complement the gp130 Δ 3fibro-derived constructs.
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Please replace the paragraph starting on page 52, line 33, through page 53, line 14, with the following:

C22

In a different set of experiments the ability of the ligand traps to multimerize in the presence of ligand was tested. An example of this is shown on Figures 19A and 19B. IL-6-induced association of gp130-Fc•IL-6R α -Fc with gp130-CH1•IL-6R α -K was determined by testing whether gp130-CH1•IL-6R α -K, which does not by itself bind protein A, could be precipitated by protein A-Sepharose in the presence of gp130-Fc•IL-6R α -Fc in an IL-6-dependent manner (Figures 9A and 9B [SEQ ID NO: 9]). Precipitation of gp130-CH1•IL-6R α -K by Protein A-Sepharose was determined by western blotting with an anti-kappa specific HRP conjugate, which does not detect gp130-Fc•IL-6R α -Fc. gp130-CH1•IL-6R α -K could be precipitated by Protein A-Sepharose only when both gp130-Fc•IL-6R α -Fc and IL-6 were present. This result conclusively indicates that IL-6 can induce ligand trap multimerization, and

C22
CONT. further indicate that the ligand trap can mimic the hexameric cytokine • R α • signal transducer complex (Figure 1). Ligand-induced multimerization may play a significant role in the clearance of cytokine • ligand trap complexes *in vivo*.

Please replace the paragraph starting on page 55, line 10, with the following:

C23 The nucleotide sequences encoding the cytokine traps were constructed from the individual cloned DNAs (described *supra*) by standard cloning and PCR techniques. In each case, the sequences were constructed in frame such that the sequence encoding the first fusion polypeptide component was fused to the sequence encoding the second fusion polypeptide component followed by an Fc domain (hinge, CH2 and CH3 region of human IgG1) as the multimerizing component. In some cases extra nucleotides were inserted in frame between sequences encoding the first and second fusion polypeptide components to add a linker region between the two components (See Figs. 21A-21D [SEQ ID NO: 17] - trap 424; Figs. 24A-24F [SEQ ID NO: 23] - trap 412; and Figs. 26A-26E [SEQ ID NO: 27] - trap 569).

Please replace the paragraph starting on page 55, line 21, with the following:

C24 For the IL-4 traps, 424 (Figs. 21A-21D [SEQ ID NO: 17]), 603 (Figs. 22A-22D [SEQ ID NO: 19]) and 622 (Figs. 23A-23D) [SEQ ID NO: 21], the IL-2R γ component is 5', followed by the IL4R α component and then the Fc component. For the IL-6 traps, 412 (Figs. 24A-24F [SEQ ID NO: 23]) and 616 (Figs. 25A-25F [SEQ ID NO: 25]), the IL-6R α component is 5' followed by the gp130 component and then the Fc domain. For the IL-1 trap 569 (Figs. 26A-26E [SEQ ID NO: 27]) the IL-1RAcP component is 5' followed by the IL-1RI component and then the Fc domain. The final constructs were cloned into the mammalian expression vector pCDNA3.1 (STRATAGENE).

Please replace the paragraph starting on page 56, line 1, with the following:

C25 In the 569 sequence (Figs. 26A-26E [SEQ ID NO: 27]), nucleotides 1-1074 encode the IL1RAcP component, nucleotides 1075 -1098 encode a linker region, nucleotides 1099-2043 encode the IL1RI component and nucleotides 2044-2730 encode the Fc domain.

Please replace the paragraph starting on page 56, line 6, with the following:

C26 In the 412 sequence (Figs. 24A-24F [SEQ ID NO: 23]), nucleotides 1-993 encode the IL6R α component, nucleotides 994-1023 encode a linker region, nucleotides 1024-2814 encode the gp130 component and nucleotides 2815-3504 encode the Fc domain.

Please replace the paragraph starting on page 56, line 11, with the following:

C27 In the 616 sequence (Figs. 25A-25F [SEQ ID NO: 25]), nucleotides 1-993 encode the IL6R α component, nucleotides 994-2784 encode the gp130 component and nucleotides 2785-3474 encode the Fc domain.

Please replace the paragraph starting on page 56, line 15, with the following:

C28 In the 424 (Figs. 21A-21D [SEQ ID NO: 17]) and 622 (Figs. 23A-23D [SEQ ID NO: 21]) sequences, nucleotides 1-762 encode the IL2R γ component, nucleotides 763-771 encode a linker region, nucleotides 772-1395 encode the IL4R α component and nucleotides 1396-2082 encode the Fc domain.

Please replace the paragraph starting on page 56, line 20, with the following:

C29 Finally, in the 603 sequence (Figs. 22A-22D [SEQ ID NO: 19]), nucleotides 1-762 encode the IL2R γ component, nucleotides 763-1386 encode the IL4R α component and nucleotides 1387-2073 encode the Fc domain.

Please replace the paragraph starting on page 61, line 20, with the following:

C30 Figure 29 shows that the IL6 trap (6SC412 IL6R-scb-gpx-Fc Δ C1) described in Figs. 24A-24F (SEQ ID NOS: 23 and 24) is a better antagonist of IL-6 in the XG1 bioassay than the neutralizing monoclonal antibody to human IL-6 - BE8.

Please replace the paragraph starting on page 61, line 27, with the following:

C31 MRC5 human lung fibroblast cells respond to IL-1 by secreting IL-6 and thus were utilized to